**Shidler College of Business**
University of Hawai'i at Manoa
Spring 2016

**FIN 467 – Seminar in Financial Planning**
Section 001 Tues. Thurs. 4:30 – 5:45
Classroom Number: D103
Office Hours: 2:30 – 4:00 Tues – Thurs. and TBA
Class materials available through Laulima. Announcements for this class will be made through Laulima

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**Course Description:**
FIN 467 is a capstone course for the Financial Services & Planning track. This course will require students to integrate concepts and material from the pre-requisite courses to apply to financial planning situations. This course intends to provide students with opportunities to analyze financial problems, evaluate potential solutions, develop and present financial plans through case analysis. Expert guest speakers will provide students with practical knowledge and experience to complement their academic preparation in financial planning. FIN467 will assist students in preparing for the CFP® exam.

**Course Objectives:**
Upon successful completion of this course, the student will be qualified to sit for the Certified Financial Planner® exam. The student will:

- Demonstrate a comprehensive understanding of the content found within the Financial Planning curriculum and effectively apply and integrate this information in the formulation of a financial plan
- Effectively communicate the financial plan, both orally and in writing, in cluding information based on research, peer, colleague or simulated client interaction and/or results emanating from sysnthesis of material.
- Collect all necessary and relevant qualitative and quantitative information required to develop a financial plan.
- Analyze the personal financial situations, evaluating clients' objectives, needs and values to develop an appropriate strategy within the financial plan
- Demonstrate logic and reasoning to identify the strengths and weaknesses of various approaches to a specific problem.
- Evaluate the impact of economic, political and regualtory issues with regard to the financial plan.
- Apply the CFP Board Financial Planning Practice Standards to the financial planning process.

The purpose of this class is to prepare the student for a career in financial planning and prepare them to pass the Certified Financial Planner® exam. All cases, exercises, quizzes and practice exams are leading up to the final exam preparation.

**Pre-requisites:**

- FIN 311
- FIN 301
- Three of the following four: INS300, ACC401, FIN490E, FIN490F
- Courses may be taken concurrently with this course with permission of the instructor

**Pedegogy:**

This class will be structured as a case-studies class, affording students the opportunity to integrate the concepts of financial planning by analyzing case studies and preparing comprehensive financial plans for application including gathering client data, analyzing and evaluating client current financial status, developing and presenting financial planning recommendations and designing a plan for follow-up and monitoring of the plan.

In addition to in class work, students will be responsible for self-study homework assignments reviewing the material for the content areas of the CFP® exam.

**Materials Information:**

1. *Cases in Financial Planning, Analysis and Presentation*, First edition, Dalton, Michael, Money Education ISBN 978-1-936602-08-7
2. Recommended supplementary texts: Comprehensive Review for the CFP® Certification Examination, Vol I and II, 2013, Keir Educational Resources. (on reserve in Sinclair Library)
3. Textbooks from the pre-requisite courses will be used throughout the class.
4. Students should examine the material on the CFP Board website: www.cfp.net
5. Handouts and lecture notes as posted through Laulima during the semester
6. Downloadable material announced in class
7. Financial Calculator (Suggested models: Texas Instruments BA II Plus or HP 10BII)

**Homework Assignments:** Homework assignments will be required each week for the first 14 weeks. These are self-study review of materials covered in the CFP® exam. The purpose of these assignments is to strengthen the content knowledge for these areas. It is very important that the content areas be reviewed prior to the exam. Homework will be submitted through Laulima.

**Practice exams:**
Students will be given an opportunity to take practice exams to prepare for the actual CFP® exam. These are not a part of your grade but should help you know whether you are ready to take the exam.

**Grading:**

- Case preparation, participation and presentation total 40 points
- Final comprehensive case and presentation 20 points
- Final exam (CFP practice exam) 40 points

Total points available 100.

**Case analysis and presentation**

Students will be assigned individual cases to prepare and present to the class. A comprehensive analysis of the clien situation including weaknesses and strengths, analysis of current situations, financial planning recommendations will be required.

In addition to the textbook cases, the student will prepare a comprehensive financial plan for him/herself or for a volunteer participant.

**Academic Dishonesty:** Instances of academic dishonesty, as defined by the University Student Conduct Code (http://www.hawaii.edu/student/conduct), will not be tolerated and any instance of academic dishonesty may result in a grade of "F." Further disciplinary sanctions may also be imposed by the Dean of Students in accordance with the fore-mentioned Student Conduct Code.

**Dropping the Class:**
Please check www.hawaii.edu/myuh/manoa and with Office of Student Services for last drop date with "W" grade.

**Disability Access:**
Students with disabilities are encouraged to contact the KOKUA Program for information and services. Services are confidential and students are not charged for them. Contact KOKUA at 956-7511 (voice/text), kokua@hawaii.edu, or Student Services Center, Room 13.

**Course Schedule:**
Course schedule will be discussed the first week of classes.