

# ERIC L. MAIS

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## EDUCATION

Ph.D., Finance, University of South Carolina, Thesis, "The Role of Equity Options in Controlling Conflict Between Common and Preferred Stockholders: Theory and Tests".

M.B.A., Missouri State University.

B.S., Chemistry, Missouri State University.

## ACADEMIC POSITIONS

Professor, University of Hawaii, 1999 to present.

Associate Professor, University of Hawaii, 1993 to 1999.

Assistant Professor, University of Hawaii, 1988 to 1993.

## TEACHING AND RESEARCH AREAS

Corporate finance, investment banking, private wealth management, entrepreneurial finance, healthcare financial management, corporate social responsibility.

## CLASSES TAUGHT

### 2023-2024

<b>Undergraduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 314	40	120
BUS 314	39	120
BUS 314	40	117
<b>Graduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 629	22	66
FIN 688	10	<u>30</u>
Total Student Semester Hours		453

### 2022-2023 (sabbatical Fall 2022)

<b>Undergraduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 314	40	120
<b>Graduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 629	23	69
BUS 629	28	84
FIN 634	27	<u>81</u>
Total Student Semester Hours		354

**2021-2022**

<b>Undergraduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 314	40	120
BUS 314	40	120
BUS 314	40	120
BUS 314	27	81

<b>Graduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 629	22	66
BUS 629	19	57
FIN 688	13	<u>39</u>
Total Student Semester Hours		621

**2020-2021**

<b>Undergraduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 314	40	120
BUS 314	39	117
BUS 314	40	120
BUS 314	40	120

<b>Graduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 629	30	90
BUS 629	20	60
FIN 634	27	<u>81</u>
Total Student Semester Hours		708

**2019-2020**

<b>Undergraduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 314	40	120
BUS 314	40	120
BUS 314	39	117
BUS 314	33	99

<b>Graduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 629	30	90
BUS 629	27	81
FIN 688	14	<u>42</u>
Total Student Semester Hours		669

**2018-2019**

<b>Undergraduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 314	40	120
BUS 314	39	117
BUS 314	40	120
BUS 314	40	120

<b>Graduate</b>	<b>No. of Students</b>	<b>Student Semester Hours</b>
BUS 629	29	87

BUS 629	26	78
FIN 634	29	87
Total Student Semester Hours		729

## SPECIAL AWARDS AND HONORS

"Distance EMBA Professor of the Semester " Fall 2021  
 "Distance EMBA Professor of the Semester " Spring 2021  
 "Executive MBA Professor of the Semester " Spring 2021  
 "Full-Time MBA Professor of the Semester " Spring 2020  
 "Dennis Ching Teaching Award" 2020.  
 "Full-Time MBA Professor of the Semester " Spring 2019.  
 "Executive MBA Professor of the Semester " Fall 2018.  
 "Full-Time MBA Professor of the Semester " Spring 2018.  
 "Executive MBA Professor of the Semester " Spring 2018.  
 "Dennis Ching Teaching Award" 2017.  
 "Executive MBA Professor of the Semester " Fall 2016.  
 "Full-Time MBA Professor of the Semester " Spring 2016.  
 "Executive MBA Professor of the Semester " Spring 2016.  
 "Executive MBA Professor of the Semester " Fall 2014.  
 "Part-Time MBA Professor of the Semester " Fall 2014.  
 "Dennis Ching Teaching Award" 2014.  
 "Executive MBA Professor of the Semester " Spring 2014.  
 "Part-Time MBA Professor of the Semester " Spring 2013.  
 "Executive MBA Professor of the Semester " Spring 2012.  
 "Full-Time MBA Professor of the Semester " Spring 2011.  
 "Executive MBA Professor of the Semester " Fall 2010.  
 "Full-Time MBA Professor of the Semester " Spring 2009.  
 "Part-Time MBA Professor of the Semester " Spring 2009.  
 "Full-Time MBA Professor of the Semester " Spring 2008.  
 "Dennis Ching Teaching Award" 2008.  
 "Excellence in Teaching Award" 1997.  
 "MBA Professor-of-the-Year" 1992.  
 "MBA Professor-of-the-Year" 1991.  
 "Excellence in Teaching Award" 1991.  
 "MBA Professor-of-the-Year" 1990.  
 "Excellence in Teaching Award" 1989.

## RESEARCH PRODUCTIVITY RANKINGS

Ranked at the 90th percentile among 660 finance Ph.D.s with 12 or more years of experience in "Publish or Perish: What the Competition is *Really* Doing" by T. Zivney and W. Bertin, (1992), Journal of Finance.

Ranked at the 97th percentile among the 293 Finance Ph.D. graduates from the year 1988 in "Financial Research: Evidence from Recent Graduates of Doctoral Programs", Borokhovich and Chung (2000), Financial Practice and Education.

Ranked at 20<sup>th</sup> place out of 796 Finance Ph.D. graduates from years 1987 to 1991 in "Financial Research: Evidence from Recent Graduates of Doctoral Programs", Borokhovich and Chung (2000), Financial Practice and Education.

## SELECTED PUBLICATIONS

"A Re-Examination of Shareholder Wealth Effects of Calls of Convertible Preferred Stock", (with W. Moore and R. Rogers), Journal of Finance, 1989.

"The Valuation Effects of Private Placements of Convertible Debt Securities", (with L. Fields), Journal of Finance, 1991.

"Conversion-Forcing Security Calls: Wealth Transfers Revisited", (with L. Fields and W. Moore), International Review of Economics and Finance, 1994.

"Managerial Voting Rights and Seasoned Public Equity Offers", (with L. Fields), Journal of Financial and Quantitative Analysis, 1994.

"The Importance of Call Delays and Cash Flow Positions in Evaluating the Information Content of Convertible Preferred Stock Calls", (with L. Fields, and M. Wilkins), Journal of Accounting, Auditing and Finance, 1999.

"Managerial Motives and Merger Financing", (with S. Chang), Financial Review, 2000.

"Insider Trading Around Convertible Security Calls", (with J. Gramlich), Journal of Applied Business Research, 2003.

"Framing the "Green" Alternative for the Environmentally Conscious Consumer", Sustainability, Accounting, Management & Policy Journal, Aug 2010, (with E. M. Okada).

"The effect of target managerial ownership on the choice of acquisition financing and CEO job retention", (with S. Chang, and M. Sullivan), Review of Quantitative Finance and Accounting, 2013.

"Returns to Shareholders in Management Buyouts in Japan", (with H. Ito), Shoken Analysts Journal, 2016.